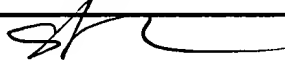


<b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after initial filing)</i>	Application Number	08/281,790 (USPN 5,514,154)
	Filing Date	07/28/1994
	First Named Inventor	Lilip Lau et al.
	Art Unit	
	Examiner Name	N/A
Total Number of Pages in This Submission	Attorney Docket Number	003168.1350

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Supplemental Submission For A Previously Filed Application For Patent Term Extension Pursuant To 35 U.S.C. Section 156; Replacement Exhibit F.
<div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> Remarks         </div> <div style="text-align: center;"> <b>RECEIVED</b>  <b>DEC 29 2011</b>  <b>PATENT EXTENSION</b>  <b>OPLA</b> </div> </div>		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm Name	Baker Botts L.L.P.	
Signature		
Printed name	Steven P. Lendaris	
Date	12/29/2011	Reg. No. 53,202

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Signature		
Typed or printed name	Steven P. Lendaris	Date 12/29/2011

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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P.O. Box 1450  
Alexandria, VA 22313-1450

on December 29, 2011  
Date



Signature

Steven P. Lendaris

Typed or printed name of person signing Certificate

53,202

Registration Number, if applicable

212-408-2500

Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

1. Transmittal Form (1 page)
2. 5 copies of Replacement Exhibit F (3 pages)
3. Certificate of Mailing
4. Return Postcard

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: : U.S. Patent No. 5,514,154 (U.S.S.N. 08/281,790)

Issued: : May 7, 1996                      Regulatory                      XIENCE PRIME™ and XIENCE  
Approval Product:                      PRIME™ LL Everolimus Eluting  
Coronary Stent System (EECSS)

Inventors : Lilip Lau et al.

For : Expandable Stents

**SUPPLEMENTAL SUBMISSION FOR A PREVIOUSLY FILED  
APPLICATION FOR PATENT TERM EXTENSION  
PURSUANT TO 35 U.S.C. § 156**

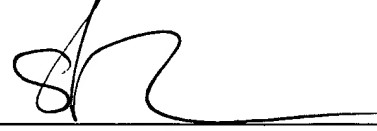
Mail Stop Hatch-Waxman PTE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Abbott Cardiovascular Systems Inc. ("Applicant"), acting through its duly authorized attorney, filed an application for extension of the term of U.S. Patent No. 5,514,154 on December 28, 2011 ("the PTE application") via Express Mail (Express Mail No. EI 124730639 US). Five copies of the PTE application, including Exhibits A-I, were included in that Express Mail filing. Due to a clerical error with the previously-filed Exhibit F, Applicant hereby submits five copies of replacement Exhibit F, and respectfully requests that the Exhibit F in each of the five copies of the PTE application as originally filed be replaced with the replacement Exhibit F enclosed herewith. Applicant also notes that the first page of the PTE application includes a typographical error in its recitation of the recordation date of the assignment recorded at Reel 007186, Frame 0565, which was attached to the PTE application as Exhibit A. As noted in the originally filed Exhibit A, the assignment was recorded on October 28, 1994. The PTE application as originally filed is incorporated by reference herein in its entirety.

No fee is believed due in connection with this submission. If, however, any fee is required, please charge the fee to the Deposit Account 02-4377.

Respectfully submitted,

A handwritten signature in black ink, appearing to be 'D. Hulseberg', written over a horizontal line.

Daniel J. Hulseberg  
Patent Office Reg. No. 36,554

Steven P. Lendaris  
Patent Office Reg. No. 53,202

Attorneys for Applicant  
Customer No. 62614  
BAKER BOTTS L.L.P.  
30 Rockefeller Plaza  
New York, NY 10112-4498  
(212) 408-2500

Date: December 29, 2011

RECEIVED  
DEC 29 2011  
PATENT EXTENSION  
OPLA

## **Exhibit F**

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# United States Patent and Trademark Office

Patent Maintenance Fees		12/19/2011 03:44 AM EST	
Patent Number:	5514154	Application Number:	08281790
Issue Date:	05/07/1996	Filing Date:	07/28/1994
Window Opens:		Surcharge Date:	
Window Closes:		Payment Year:	
Entity Status:	LARGE		
Customer Number:	204		
Address:	COMPUTER PACKAGES, INC. 414 HUNGERFORD DRIVE ROCKVILLE MD 20850		
Phone Number:	(301) 424-8890		
Currently there are no fees due.			

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Patent Bibliographic Data				12/19/2011 03:45 AM	
Patent Number:	5514154		Application Number:	08281790	
Issue Date:	05/07/1996		Filing Date:	07/28/1994	
Title:	EXPANDABLE STENTS				
Status:	4th, 8th and 12th year fees paid			Entity:	Large
Window Opens:	N/A	Surcharge Date:	N/A	Expiration:	N/A
Fee Amt Due:	Window not open	Surchg Amt Due:	Window not open	Total Amt Due:	Window not open
Fee Code:					
Surcharge Fee Code:					
Most recent events (up to 7):	09/14/2007 Payment of Maintenance Fee, 12th Year, Large Entity. 12/11/2003 Payor Number Assigned. 12/11/2003 Payer Number De-assigned. 11/07/2003 Payment of Maintenance Fee, 8th Year, Large Entity. 11/05/1999 Payment of Maintenance Fee, 4th Year, Large Entity. — End of Maintenance History —				
Address for fee purposes:	COMPUTER PACKAGES, INC. 414 HUNGERFORD DRIVE ROCKVILLE MD 20850				
<input type="button" value="Run Another Query"/>					

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**TRANSMITTAL  
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(to be used for all correspondence after initial filing)

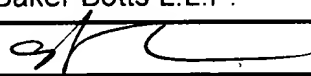
Total Number of Pages in This Submission

Application Number	08/281,790 (USPN 5,514,154)
Filing Date	07/28/1994
First Named Inventor	Lilip Lau et al.
Art Unit	
Examiner Name	N/A
Attorney Docket Number	003168.1350

**ENCLOSURES (Check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 5 executed copies of Application For Patent Term Extension Pursuant To 35 U.S.C. Section 156 and Exhibits A-I; Statement Under 37 CFR 3.73(b).
Remarks		

**RECEIVED****DEC 28 2011****PATENT EXTENSION  
OPLA****SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	Baker Botts L.L.P.		
Signature			
Printed name	Steven P. Lendaris		
Date	12/28/2011	Reg. No.	53,202

**CERTIFICATE OF TRANSMISSION/MAILING**

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Signature			
Typed or printed name	Steven P. Lendaris	Date	12/28/2011

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# FEE TRANSMITTAL

Complete if Known

Application Number	08/281,790 (USPN 5,514,154)
Filing Date	07/28/1994
First Named Inventor	Lilip Lau et al.
Examiner Name	N/A
Art Unit	
Attorney Docket No.	003168.1350

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 1,120

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number  
Deposit Account Name

02-4377

Baker Botts L.L.P.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### Extra Claim Fees

	Extra Claims	Fee	Fee Paid
Total Claims		60	\$0
Independent Claims		250	\$0
Multiple Dependent			\$0

SUBTOTAL \$0

Fee Description	Large Entity	Small Entity
Claims in excess of 20	60	30
Independent claims in excess of 3	250	125
Multiple dependent claim, if not paid	450	225


## FEE CALCULATION (continued)

### ADDITIONAL FEES

<input type="checkbox"/> Surcharge - late oath or filing fee	
<input type="checkbox"/> Non-English Specification	
<input type="checkbox"/> Extension for reply within first month	
<input type="checkbox"/> Extension for reply within second month	
<input type="checkbox"/> Extension for reply within third month	
<input type="checkbox"/> Extension for reply within fourth month	
<input type="checkbox"/> Extension for reply within fifth month	
<input type="checkbox"/> Notice of Appeal	
<input type="checkbox"/> Filing a brief in support of an appeal	
<input type="checkbox"/> Petition to revive - unavoidable	
<input type="checkbox"/> Petition to revive - unintentional	
<input type="checkbox"/> Utility Issue Fee	
<input type="checkbox"/> Design Issue Fee	
<input type="checkbox"/> Publication Fee	
<input type="checkbox"/> Petitions to the Commissioner	
<input type="checkbox"/> Request for Continued Examination (RCE)	
<input type="checkbox"/> Information Disclosure Statement (IDS)	
Other fee - Extension of Term of Patent	\$1,120

SUBTOTAL (\$ ) 1,120

## SUBMITTED BY

Name (Print/Type)	Steven P. Lendaris	Registration No. (Attorney/Agent)	53,202	Telephone	212-408-2500
Signature		Date	12/28/2011		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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on December 28, 2011  
Date



Signature

Steven P. Lendaris

Typed or printed name of person signing Certificate

53,202  
Registration Number, if applicable

212-408-2500  
Telephone Number

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1. Transmittal Form (1 page)
2. Fee Transmittal (1 page)
3. 5 executed copies of Application For Patent Term Extension Pursuant To 35 U.S.C § 156 including Exhibits A-I (138 pages)
4. Statement Under 37 CFR 3.73(b) (3 pages)
5. Revocation of Power of Attorney With New Power Of Attorney And Change of Correspondence Address (1 page)
6. Return Postcard

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**REVOCATION OF POWER OF  
ATTORNEY WITH  
NEW POWER OF ATTORNEY  
AND  
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	USPN 5,514,154
Filing Date	Issued May 7, 1996
First Named Inventor	Abbott Cardiovascular Systems Inc.
Art Unit	N/A
Examiner Name	N/A
Attorney Docket Number	003168.1350

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number:

62614

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with  
Customer Number:

62614

OR

☐ Firm or  
Individual Name

Address

City

State

Zip

Country

Telephone

Email

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Signature

Name

Date

Telephone

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ \*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Abbott Cardiovascular Systems Inc.Application No./Patent No.: USPN 5,514,154 Filed/Issue Date: Issued May 7, 1996Entitled: Expandable StentsAbbott Cardiovascular Systems Inc., a Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Lilip Lau, William Hartigan and John Frantzen To: Advanced Cardiovascular Systems, Inc.  
The document was recorded in the United States Patent and Trademark Office at  
Reel 007186, Frame 0565, or for which a copy thereof is attached.
2. From: Advanced Cardiovascular Systems, Inc. To: Abbott Cardiovascular Systems Inc.  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Susan L. Walker

Signature

SUSAN L. WALKER

Printed or Typed Name

Asst. Secretary

Title

12-22-11

Date

408-845-3000

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



**State of California**  
**Secretary of State**

I, DEBRA BOWEN, Secretary of State of the State of California, hereby certify:

That the attached transcript of 1 page(s) has been compared with the record on file in this office, of which it purports to be a copy, and that it is full, true and correct.



IN WITNESS WHEREOF, I execute this certificate and affix the Great Seal of the State of California this day of

FEB 14 2007

A handwritten signature in cursive script that reads "Debra Bowen".

DEBRA BOWEN  
Secretary of State

A06566101

**ENDORSED - FILED**  
In the office of the Secretary of State  
of the State of California

**CERTIFICATE OF AMENDMENT OF  
ARTICLES OF INCORPORATION**

**FEB 13 2007**

The undersigned certify that:

1. They are the President and an Assistant Secretary, respectively, of Advanced Cardiovascular Systems, Inc., a California corporation.
2. Article I of the Articles of Incorporation of this corporation is amended to read as follows:

**The name of the corporation is Abbott Cardiovascular Systems Inc.**

3. The foregoing amendment of Articles of Incorporation has been duly approved by the Board of Directors on January 18, 2007.
4. The foregoing amendment of Articles of Incorporation has been duly approved by the required vote of shareholders in accordance with Section 902, California Corporations Code. The total number of outstanding shares of the corporation is 100. The number of shares voting in favor of the amendment equaled or exceeded the vote required. The percentage vote required was more than 50%.

We further declare under penalty of perjury under the laws of the State of California that the matters set forth in this certificate are true and correct of our own knowledge.

DATE: February 9, 2007

  
John M. Capek  
President

  
John A. Berry  
Assistant Secretary

